



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,411	04/20/2001	Thomas M. Stephany	82655SLP

CONFIRMATION NO. 5523

## FORMALITIES LETTER



\*OC000000006441797\*

Thomas H. Close  
Patent Legal Staff  
Eastman Kodak Company  
343 State Street  
Rochester, NY 14650-2201

EASTMAN KODAK CO.

AUG 23 2001

PATENT LEGAL STAFF

Date Mailed: 08/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/19/2001 BABRAHA1 00000055 050225 09841411

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130.00 CH



82655SLP  
Customer No. 01333

Receipt  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas M. Stephany, et al

ANIMATION SECURITY METHOD

Serial No. *US 09/841,411*

Filed *24 April 2001*

Group Art Unit: *2131*

Examiner: *To Be Assigned*

I hereby certify that this correspondence is being deposited today with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

*Lois A. Massar*  
Lois A. Massar

*Oct. 15, 2001*  
Date

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

It is respectfully requested that a corrected Filing Receipt (copy of the incorrect filing receipt attached) be issued for the above-identified application to correct the following error:

The "**Filing Date**" is incorrect and should be corrected to read as **04/24/2001**, not "**04/20/2001**". A copy of the following paper work is being provided to confirm the correct filing date of April 24, 2001:

- a copy of the Utility Patent Application Transmittal Letter Under 37 CFR 1.53(b); and
- a copy of the "Post Office to Addressee" Express Mail Receipt denoting the correct filing date of *April 24, 2001* as entered by the United States Postal Service.

Respectfully submitted,

*Susan L. Parulski*  
Attorney for Applicants  
Registration No. 39,324

Susan L. Parulski/lam  
Rochester, NY 14650  
Telephone: (716) 477-4027  
Facsimile: (716) 477-4646



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/841,411	04/20/2001	2131	1418	82655SLP	5	46	6

*Incorrect  
Filing Date  
(Should be  
11/04/24/2001)*

Thomas H. Close  
 Patent Legal Staff  
 Eastman Kodak Company  
 343 State Street  
 Rochester, NY 14650-2201

EASTMAN KODAK CO.

AUG 23 2001

PATENT LEGAL STAFF

CONFIRMATION NO. 5523

FILING RECEIPT



\*OC00000006441796\*

Date Mailed: 08/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas M. Stephany, Residence Not Provided;  
 John R. Squilla, Residence Not Provided;  
 Donald E. Olson, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/17/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Animation security method

Preliminary Class

713

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Data entry by : GENET, YODIT

Team : OIPE

Date: 08/17/2001

[REDACTED]

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



82655SLP  
Customer No. 01333

Section #  
14/7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas M. Stephany et al

ANIMATION SECURITY METHOD

Serial No. *US 09/841,411*

Filed *24 April 2001*

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Group Art Unit: *2131*

Examiner: *To Be Assigned*

I hereby certify that this correspondence is being deposited today with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

*Leis A. Massar*  
Leis A. Massar  
*Oct 15, 2001*  
Date

Sir:

**LETTER UNDER 37 C.F.R. 1.53(D)**

***RESPONSE TO THE NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR) 1.53(b) – Filing Date Granted***

This letter is in response to the Notice to File Missing Parts of Application (Form PTO-1533) mailed August 17, 2001.

Returned herewith is a copy of the above-entitled form and the signed Declaration as required by 37 C.F.R. 1.51.

This action is being taken within two months of the above Notice.

**Please charge the fee to Eastman Kodak Company Deposit Account No. 05-0225. A duplicate copy of this request is enclosed.**

Respectfully submitted,

*Susan L. Parulski*  
Attorney for Applicant(s)  
Registration No. 39,324

Susan L. Parulski/lam  
Enclosure(s)  
Telephone: (716) 477-4027  
Facsimile: (716) 477-4646

**UTILITY PATENT APPLICATION  
TRANSMITTAL UNDER 37 CFR 1.53(b)**

**ATTENTION DOCKET 82655SLP  
Customer No. 01333**

To: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**Express Mail Label No.**

**EL486847729US**

**ANIMATION SECURITY METHOD**

**Date:** *April 24, 2001*  
*Correct Filing Date*  
**ENTERED**

**First Named Inventor (or Application Identifier):**

Thomas M. Stephany, et al

Enclosed are:

1. ☒ **Specification**
2. ☐ **5 Sheet(s) of drawing(s)**
3. ☐ **Information Disclosure Statement Under 37 CFR 1.97**
4. ☐ **Combined Declaration for Patent Application and Power of Attorney:**
  - 4a. ☐ **New**
  - 4b. ☐ **Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 11 completed)**
5. ☐ **Incorporation by Reference (useable if Box 4b is checked)** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ **Assignment of the invention to**
7. ☐ **Certified copy of a priority document.**
8. ☐ **Associate Power of Attorney**
9. ☐ **Deletion of Inventor(s).** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

10. ☐ If a 111A application prior to examination of the above-identified application, amend the specification at Page 1, after the title, by inserting the following:  
--CROSS REFERENCE TO RELATED APPLICATION  
Reference is made to and priority claimed from U.S. Provisional Application Serial No. , filed , entitled .

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

11. ☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No. ,
12. ☒ **Please address all written communications to Thomas H. Close, Patent Legal Staff, Eastman Kodak Company, 343 State Street, Rochester, NY 14650-2201. Please Direct all telephone calls to Susan L. Parulski at (716) 477-4027.**
13. ☒ **Letter Under Rule 53**

The filing fee has been calculated as shown below:

FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC-FEE				\$ 710
TOTAL CLAIMS	46 - 20 =	26	x 18 =	\$ 468
INDEPENDENT CLAIMS	6 - 3 =	3	x 80 =	\$ 240
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 270	\$0
			<b>TOTAL</b>	<b>\$ 1418</b>

- ☒ Please charge my Eastman Kodak Company Deposit Account No. **05-0225** in the amount of **\$ 1418**.  
**A duplicate copy of this sheet is enclosed**
- ☒ The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Eastman Kodak Company Deposit Account No. **05-0225**.  
**A duplicate copy of this sheet is enclosed.**

Susan L. Parulski/lam  
Telephone: (716) 477-4027  
Facsimile: (716) 477-4646

*Susan L. Parulski*  
\_\_\_\_\_  
Attorney for Applicants  
Registration No. 39,324



**POST OFFICE  
TO ADDRESSEE**



**EL486847729US**

**SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE**

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PO ZIP Code <u>14650</u>	Day of Delivery <input checked="" type="checkbox"/> First <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
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<b>FROM: (PLEASE PRINT)</b> PHONE ( ) - EASTMAN KODAK COMPANY 343 STATE ST ROCHESTER NY 14650-0001 SLParulewky, 14/32/20, n/c, 0001 D 82655/SLP	<b>TO: (PLEASE PRINT)</b> PHONE ( ) - ASSISTANT COMMISSIONER FOR PATENTS BOX PATENT APPLICATION WASHINGTON DC 20231-0001
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Inventor(s): Th. M. S. Stephany, John R. Squilla, Donald E. O'

Title: ANIMATION SECURITY METHOD

No. of Pages: Specification 13 Claims 7 Drawings 5 Abstract 1

Enclosures: ☐ Assignment ☐ Disclosure Statement  
☐ Declaration ☒ **Other: Letter Under Rule 53**

Fee: \$ 1418.00 charged to Eastman Kodak Company Deposit Account No. 05-0225

Docket Ref.: 82655SLP **EXPRESS LABEL NO. EL486847729US**

Customer No 01333 **PATENT OFFICE STAMP**

Entered by LMK

**Express Mailed: April 24, 2001**



*Incorrect  
Filing Date*